

LEGAL MATTER MANAGEMENT



Turn every legal and compliance matter into controlled action

Framesoft Legal & Compliance Matter Management (FLM) gives Legal and Compliance teams one governed workspace to capture, assess & manage matters from first notice to final closure. Litigation, regulatory inquiries, investigations, complaints, subpoenas, audits and any organization-specific matter type follow a consistent, configurable process - with clear ownership, complete context and real-time visibility across regions, divisions and legal entities.

Framesoft Artificial Intelligence (FAI) is embedded throughout FLM. It helps teams classify incoming matters, extract key facts from documents and correspondence, identify risks and related matters, recommend next actions, summarize developments, monitor deadlines and prepare decision-ready reports. FAI works inside FLM's roles, approvals and audit controls, so human judgment remains in command.

One matter. One source of truth. Complete control.

FLM connects the people, information, deadlines, documents and financials behind every matter. Standardized corporate governance combines with flexible regional and divisional configuration, enabling a consistent operating model without losing local relevance.

1. Intelligent intake and triage

Capture matters from guided forms, e-mail or integrated business channels. FLM validates required information, checks for duplicates and conflicts, applies the correct matter type, proposes priority and ownership, and routes each case into the right workflow. FAI converts unstructured submissions into structured, actionable matter data.

2. Matter lifecycle and workflow control

Manage owners, teams, milestones, tasks, approvals, reporting obligations and closure criteria in one place. Configurable workflows support local and corporate quality checks, periodic reporting cycles, escalations, reminders and controlled matter transfer. Every change is preserved in a complete audit trail.

3. Risk, exposure and financial management

Track claims, remedies, probability, exposure, reserves, budgets, accruals, invoices and actual spend by matter, entity, region or portfolio. Dynamic Key Risk Indicators highlight emerging concentrations, while FAI identifies changing risk signals, explains relevant drivers & supports forward-looking forecasts.

4. Documents, evidence and legal hold

Keep matter-related documents, correspondence and evidence securely connected to their context through Framesoft Document Management (FDM). Versioning, access controls, retention, privilege and confidentiality markers, legal holds and defensible disposition help protect sensitive information. FAI summarizes files, extracts dates and obligations, and surfaces relevant evidence without breaking governance boundaries.

5. Collaboration and outside counsel

Create a shared, role-based workspace for Legal, Compliance, business teams, auditors and external counsel. Assign work, exchange updates, capture notes and decisions, evaluate firms and manage engagement terms. FRM Integration supports time recording, e-invoicing & outside-counsel spend control.

6. Reporting, analytics and executive insight

Move from individual matter detail to a global portfolio view with configurable dashboards, board reporting, time-series analysis and drill-downs. Search & report across regions, divisions,

entities, matter types & risk criteria; exports. Integration with FDI enables deeper analysis, while FAI prepares concise narratives and flags anomalies for review.

Built for governed enterprise operation

- Configurable matter types, fields, forms, workflows and regional extensions
- Role-based access, segregation of duties, approval controls and complete history
- Deadline, obligation and escalation management with recurring reminders
- Conflict checks, duplicate detection, relationship mapping and matter linkage
- Retention, legal hold, privilege & confidentiality controls
- Multilingual & multi-entity operation with auditable global reporting
- Open APIs & integrations
- Human-controlled FAI recommendations

From first signal to final insight

FLM replaces fragmented spreadsheets, inboxes and local trackers with one connected matter lifecycle. Teams respond faster, reduce operational and reporting risk, control legal spend and give management a reliable view of legal and compliance exposure - at any time, at any level of the organization.

Bring every matter, decision and risk signal into focus. Request an FLM demonstration at contact@framesoft.com.



COMPANY PROFILE

Framesoft AG Software Applications



Framesoft offers the following fully integrated solution portfolio

- **Framesoft Contract Management**

End-to-End contract lifecycle management from request through termination

- **Framesoft Contract Repository**

Central repository for agreements, clauses, obligations, netting, and compliance

- **Framesoft Online Negotiation**

Collaborative online review & negotiation of contracts with counterparties

- **Framesoft Data Insight**

Advanced reporting & analytics across contractual and operational data

- **Framesoft Artificial Intelligence**

AI-driven analysis, semantic search, extraction & drafting support

- **Framesoft Structured Products**

Lifecycle management for structured product design, issuance, and servicing

- **Framesoft Fee Management**

Administration, calculation, reconciliation, and payment of fees and commissions

- **Framesoft Document Management**

Secure enterprise content storage, versioning, and collaboration

- **Framesoft OTC Platform**

Capture, quote, and process OTC trades from sales through to trading

- **Framesoft Confirmation Generator**

Automated generation of trade confirmations & related documentation

- **Framesoft Matter Management**

Case matter management & tracking tool designed for Legal & Compliance

Transforming Financial Services Through Intelligent Technology

Founded in 1996, Framesoft is a trusted provider of software solutions for the global financial services industry. We combine deep domain expertise with innovative technology to help financial institutions streamline operations, reduce risk, strengthen compliance, and accelerate digital transformation. Our highly configurable solutions support critical front-, middle-, and back-office processes and can be deployed on-premises, in the cloud, or as Software as a Service (SaaS), providing maximum flexibility, scalability, and security.

Artificial Intelligence & Innovation

Through Framesoft Artificial Intelligence (FAI), we integrate advanced AI capabilities directly into our solutions, enabling organizations to unlock the full value of their data and documents. AI-powered capabilities include Smart Search, Semantic Retrieval, Natural Language Interaction, Contract Intelligence, Document Analytics, and Automated Data Extraction—helping users access information faster, improves decision-making, and increasing operational efficiency.

Our Solutions

- **Contract Lifecycle Management**

Digitize and automate the complete contract lifecycle, including negotiation, lifecycle management, netting, collateral management, and regulatory compliance.

- **Structured Product Lifecycle Management**

Support product engineering, document generation, issuance, and distribution.

- **Fee & Revenue Management**

Automate fee administration, calculations, reconciliations, and payments.

- **Content & Collaboration Management**

Enable secure management and sharing of business-critical information

- **Data Analytics & Business Intelligence**

Transform data into actionable insights via advanced reporting analytics.

- **OTC Derivatives Sales & Trading**

Streamline trading processes with increased efficiency, transparency, and control

Why Framesoft

Organizations worldwide rely on Framesoft to achieve:

- Higher levels of automation and Straight-Through Processing (STP)
- Reduced operational, legal, and credit risk
- Improved compliance, governance, and transparency
- Faster time-to-market and increased business agility
- AI-powered insights and intelligent decision support

Global Presence

Headquartered in Zug, Switzerland, Framesoft serves leading financial institutions worldwide through its presence across Europe, North America, and Asia-Pacific.

Contact

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